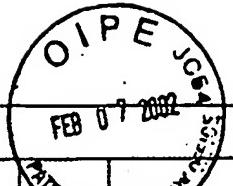


Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number KLYC-01056US8	Serial/Patent Number 10/037,236
			Applicant/Patent Owner Zucherman et al.	
			Filing/Issue Date November 9, 2001	Group Art Unit: Unknown 3731
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>				

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D	U1	6,234,705 B1	5-2001	Troxel			
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	U6	5,976,186	11-1999	Bao et al.			
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	U19	5,645,599	7-1997	Samani			
	U20	5,645,597	7-1997	Krapiva			
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	U22	5,593,409	1-1997	Michelson			
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	U27 5,534,028	7-1996	Bao et al.	1		
	U28 5,514,180	5-1996	Heggeness et al.	1		
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	U46 5,192,327	3-1993	Brantigan	1		
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	US9	5,011,484	4-1991	Breard			
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David S. Ray

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	U86 4,479,491	10-1984	Martin			
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	U88 4,369,769	1-1983	Edwards			
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	U94 3,426,364	2-1969	Lumb			
✓	U95 2,677,369	5-1954	Knowles			

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DP	F1	WO 01/28442 A1	4-2001	PCT			*
	F2	WO 00/04851	2-2000	PCT			✓
	F3	WO 00/13620	3-2000	PCT			✓
	F4	WO 00/13619	3-2000	PCT			✓
	F5	FR 2782911 A1	3-2000	France			✓
	F6	FR 2780269 A1	12-1999	France			✓
	F7	WO 99/59669	11-1999	PCT			✓
	F8	WO 99/42051	8-1999	PCT			*
	F9	WO 99/40866	8-1999	PCT			*
	F10	WO 99/26562	6-1999	PCT			*
	F11	WO 98/48717	11-1998	PCT			*
	F12	EP 0767636 B1	4-1997	EPO			✓
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David S. Levy

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	F15	FR 2717675	9-1995	France			✓
	F16	FR 2707864 A1	1-1995	France			✓
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	F20	WO 91/16018	10-1991	PCT			✓
	F21	2015507	1-1991	Canada			✓
	F22	WO 90/00037	1-1990	France			✓
	F23	SU 1484348 A1	6-1989	Soviet Union			*
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	F25	DE 2821678 A1	4-1980	Germany			*
	F26	EP 146347 A1	12-1984	EPO			*
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	F28	DE 3113142 A1	1-1982	Germany			✓

* English Abstract attached

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	O2	Waldemar Link, brochure entitled <i>Wirbelsäulen-Chirurgie: Instrumentarium Und Implantate Zur Wirbelsäulen-Chirurgie</i> (Spinal Surgery: Instrumentation and Implants for Spinal Surgery), Waldemar Link, Hamburg, Germany
	O3	Haruo Tsuji, et al., <i>Ceramic Interspinous Block (CISB) Assisted Anterior Interbody Fusion</i> , Journal of Spinal Disorders, Vol.3, No.1, pp.77-86, ©1990 Raven Press, Ltd., New York
✓	O4	Richard W. Porter, MD, FRCS, FRCSE, <i>Spinal Stenosis and Neurogenic Claudication</i> , SPINE Volume 21, Number 17, pp.2046-2052, ©1996, Lippincott-Raven Publishers

Examiner *David O. Ray*

Date Considered *4/3/05*

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner Zucherman, et al.		
		Filing/Issue Date November 9, 2001	Group Art Unit 3732 3731	

U.S. PATENTS

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Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes No
DR	F1	EP 1138268	4/20/01	Europe			**
DR	F2	FR 2806616		France			**
DR	F3	FR 2806614		France			**

** English Abstract attached

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

Examiner *David Lep* Date Considered *4/3/05*

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Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number KLYC-01053US8 SRM	Serial/Patent Number 10/037,236
<i>Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)</i>				Applicant/Patent Owner James F. Zucherman et al.	OCT 01 2003
		Filing/Issue Date November 9, 2001	Group Art TECHNOLOGY CENTER P3700 3731		

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Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<i>D</i>	A1	2,456,806	12/21/1948	Wolffe	33	174	1/14/1947
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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			

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Examiner Initial		Application Number	Filing Date	First Named Inventor			Petition to Expunge? Yes No

FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes No

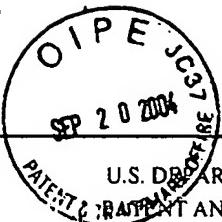
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)							
C1	Copy of International Search Report dated June 30, 2003						
Examiner <i>David O. Key</i>	Date Considered	<i>4/3/05</i>					

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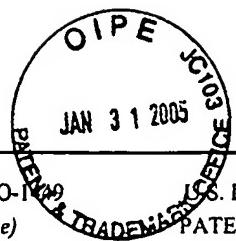
Form PTO-1449 (Substitute)				Attorney Docket Number KLYC-01056US8	Serial/Patent Number 10/037,236		
<i>Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)</i>				Applicant/Patent Owner JAMES F. ZUCHERMAN, ET AL.			
				Filing/Issue Date November 9, 2001	Group Art Unit 3731		
U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
U.S. PATENT PUBLICATIONS							
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
PENDING U.S. PATENT APPLICATIONS							
Examiner Initial		Application Number	Filing Date	First Named Inventor			Petition to Expunge? Yes No

FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)							
<i>CDR</i>	X	International Search Report mailed May 12, 2004 for International Application No. PCT/US03/27109.					
Examiner	<i>David Lef</i>		Date Considered	<i>4/3/05</i>			

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Form PTO-149 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number KLYC-01056US8	Serial/Patent Number 10/037,236
<i>Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)</i>		Applicant/Patent Owner Zucherman et al.		
		Filing/Issue Date 11/09/2001	Group Art Unit 3731	

U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date

U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant

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Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No

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Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes No
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<i>L</i>		FR 2 705 227	11/24/1994	France	A61F	2/44, 2/46	
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OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

Examiner <i>David L. Ray</i>	Date Considered <i>4/3/05</i>
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